

Neo900 NFC Subsystem

Draft

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January 5, 2015

This document specifies the Near Field Communication (NFC) and Radio-Frequency IDentification (RFID) functionality of Neo900.

TO DO: The focus is currently more on the evaluation and selection of suitable technology. We should change this later, when we've decided on a specific design.

Please note that all this is based on reading the relevant standards (or drafts of them), data sheets, etc. None of the things described here have actually been tested by the author in an implementation.

The document contains a large number of footnotes, acronyms, and citations. All these references have hyperlinks in the PDF version, which should make it easier to follow them when using the document for reference purposes. It is recommended to first read this document in its entirety in order to obtain an overview of the various topics discussed and how they are related.

1 High-level objectives

We have the following expectations on the NFC solution for Neo900:

Standards Although we currently have no specific “must have” use cases, we aim to be able to interoperate with equipment users will encounter labeled as “NFC”. In practical terms, this will most likely include NFC Type 2 tags [1] (using ISO 14443 Type A [2]), peer-to-peer communication according to NFC IP-1 [3] (using FeliCa™ [4] at “high-speed”), and ISO 14443 card emulation.

Flexibility There are many protocol in the world of NFC and RFID, and any given solution is likely to miss some that may be relevant in certain use cases. We therefore aim to be flexible and give advanced users the option of adapting the NFC functionality of Neo900 to their needs.

System environment The NFC solution must be suitable for the constrained environment found on a mobile phone. This includes the use of system-internal communication interfaces such as I²C operating at 1.8 V, low-power standby, and also low power consumption when waiting for the device to enter the field of an NFC or RFID reader.

Linux driver The hardware must have good driver and protocol stack support in Linux, without adding a major development burden to the Neo900 project.

Hardware documentation Hardware documentation sufficiently in-depth to enable the Neo900 project to correctly implement the NFC circuit must be available – preferably without NDAs or similar obstacles.

Privacy In line with our general emphasis on privacy and user empowerment, we aim to ensure that the NFC subsystem will not communicate without the user’s express consent. In particular, it must either lack the ability of field-powered operation or there must be a mechanism that allows users to suppress this mode of operation.

Tweakable Wherever practical, advanced users should be given access to low protocol layers not only in order to allow the addition of support for new protocols, as mentioned above, but also for experiments with the design and implementations of the protocols themselves.

2 Communication modes

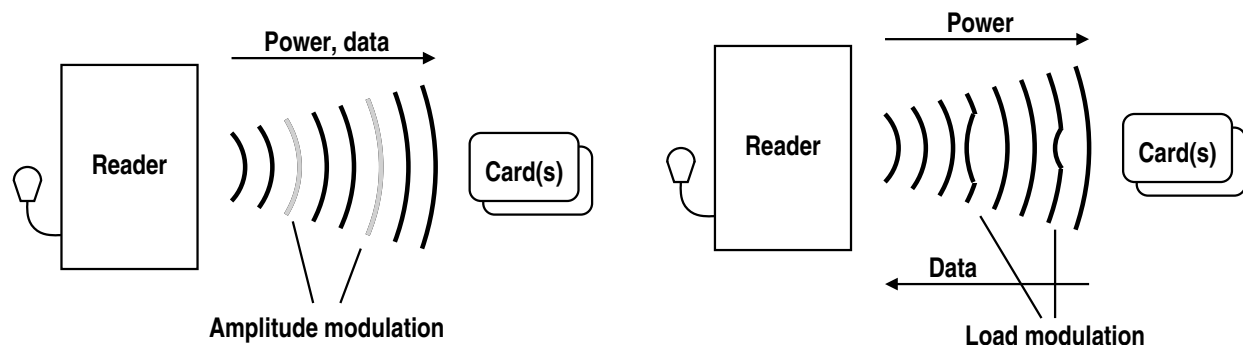
This section gives a very brief introduction to the communication modes used in the context of NFC/RFID with the following drawings illustrating the various scenarios.

The “reader” is also called “reader/writer”, and in the various ISO standards Proximity Coupling Device (PCD), Vicinity Coupling Device (VCD), or “interrogator”. The “card” is often called a “tag”, and ISO also uses Proximity Integrated Circuit Card (PICC) and Vicinity Integrated Circuit Card (VICC). We will use mainly the terms “reader” and “card” or “tag”.

2.1 Reader and card

The basic model is to have a reader and a card or tag. The reader is connected to a power source, is often part of a fixed installation, and generates a strong electromagnetic field whenever it is looking for cards (which it may be expected to do most of the time).

The card is mobile and has no power source of its own. Instead, it is powered by the field the reader generates. A the card that is not near a reader receives no power and is therefore not operational.

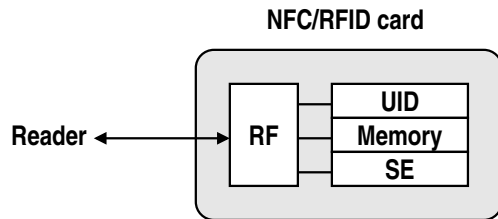


The reader sends data to the card (drawing on the left) by modulating the field it emits. It typically uses some form of Amplitude Modulation (AM), though other modulation schemes are possible. The card sends data to the reader (drawing on the right) by changing the characteristics of its receiver and thus modulating the field created by the reader. This is called load modulation.

If there are multiple cards in the vicinity of a reader, their transmissions may overlap (“collide”) and the reader therefore has to select a single one for communication. This process is called “anti-collision” and is described in more detail in section 3.4.

2.2 Card structure

In addition to the radio interface and associated protocol processing, an NFC/RFID card contains also additional elements, as shows in the following drawing:



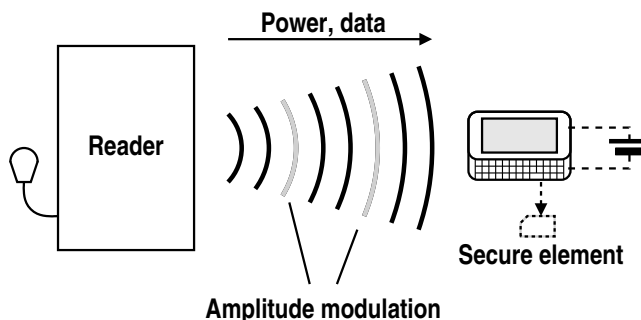
In a very simple application, a card will just have a unique ID (UID) and a reader merely queries this ID. A more sophisticated application would use a challenge-response scheme to prevent others from impersonating the card.

A card can have additional memory that can be read and possibly also written by the reader (or reader/writer). Such memory can for instance contain publicly accessible information such as a product code, a URL, an image, etc.

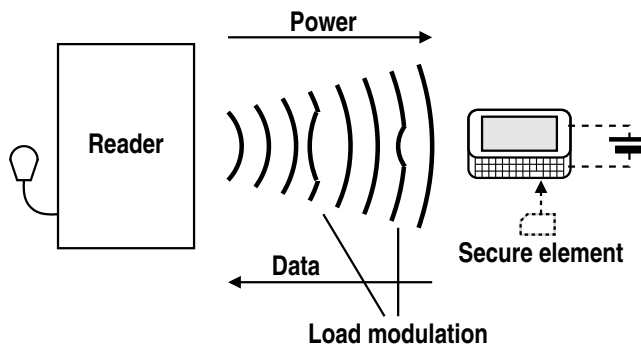
Last but not least, a card may contain a Secure Element (SE). This provides an isolated execution environment for security-sensitive applications, such as authentication protocols for electronic payment.

2.3 Card emulation

Card emulation is similar to the previous scenario, except that we have a smartphone in the role of a card. The smartphone may use its own power source but its NFC/RFID subsystem may also be capable of operating with power from the field alone.



Communication is exactly the same as with a card: the reader modulates the field to send data to the phone, and the phone modulates the field by changing its load characteristics to respond.

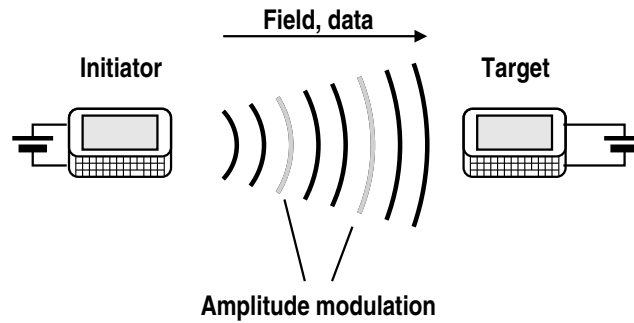


If the application requires a SE, then this may be provided either as part of the smartphone's hardware, by software, or through a Subscriber Identity Module (SIM) card. In the latter case, the NFC/RFID subsystem acts merely as a relay between the radio interface and the SIM card, with the SE controlling most of the protocol processing. We discuss the mechanism used for communication between the secure element in the SIM card and the smartphone in section 6.

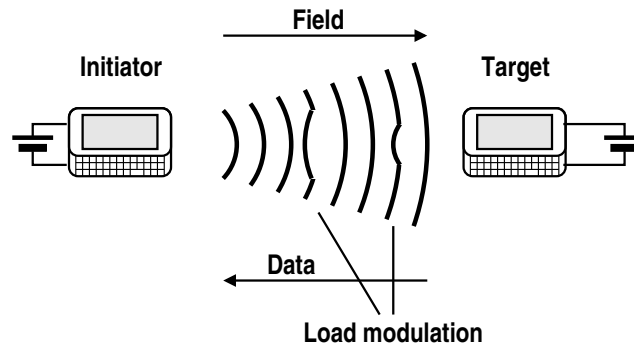
Note that a smartphone can also act as reader, communicating with a card or with another smartphone using card emulation.

2.4 NFC peer-to-peer

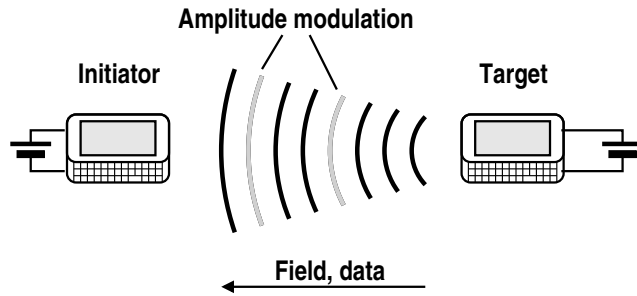
When both parties are smartphones or similar devices, they can also use NFC peer-to-peer communication. Unlike a card reader that will typically continuously scan for cards, an NFC device only activates its field when requested to do so. A device can act as “initiator” (activates field and then searches for peer) or as “target” (wait for an initiator to begin communicating).



Communication between initiator and target can be as if they were reader and card, respectively, with the initiator providing the field and the target modulating it. The main difference is that the target has its own power supply and thus does not depend on the presence of an initiator to operate.



The above is called “passive” mode. Since both devices are capable of producing an electromagnetic field, there is also an “active” mode, depicted below.



In this mode, the initiator deactivates its field when it is done sending and the target generates its own field to send a response. I.e., this is how radio communication normally works, with each party providing the electromagnetic field needed for its transmissions.

3 Protocol architecture

There are four major protocol families in NFC:

RFID for “dumb” tags, defined in ISO 18000 [5].

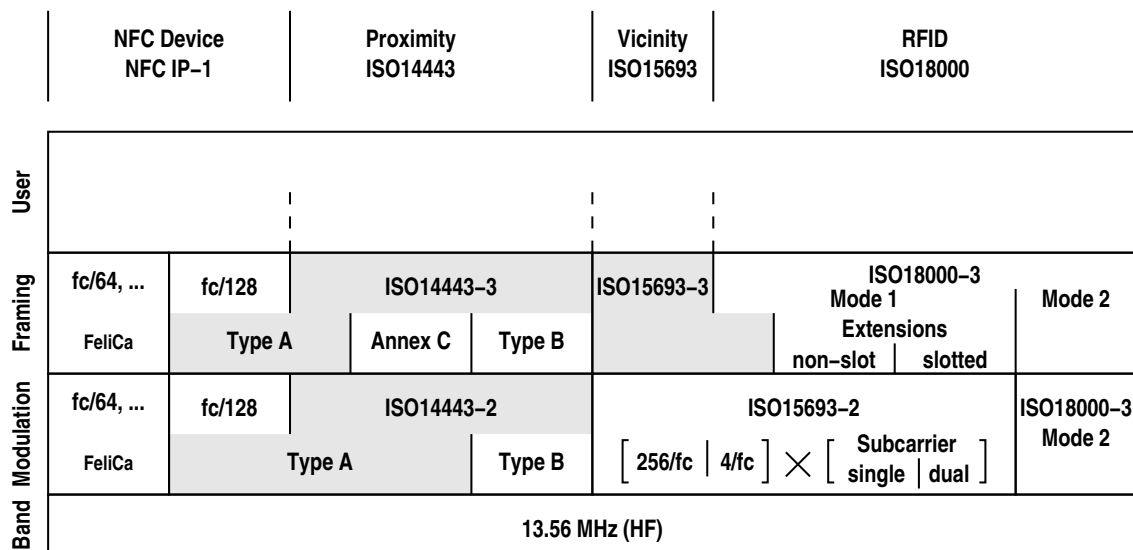
Proximity cards with a range of up to about 10 cm, defined in ISO 14443 [6, 7, 8].

Vicinity cards with a range of up to about 1 m, defined in ISO 15693 [9, 10].

NFC for tags [11] but also for devices that can act as equals, defined in [3]. NFC also covers interoperation with the above standards, in [12].

All four stacks are based on the 13.56 MHz ISM band. Each then defines a modulation and encoding scheme. We briefly discuss these in section 3.3. At the next layer are framing and anti-collision, which we cover extensively in section 3.4. On top of everything is the actual user of the stack, which may in turn be another stack of more protocols.

The following diagram shows the overall structure of the NFC protocol stack, with protocol variants within the same family and relations between families:



Where protocols are shared across families, the origin of the protocol is shown with a grey background. For example, ISO 18000-3 Mode 1 without extensions uses the anti-collision protocol defined in ISO 15693-3. Proprietary protocol variants like MIFARE™ or FeliCa™ are not shown.

3.1 Names and aliases of standards

The ISO standards often have names of the form *standard.family-part*. In the case of ISO 14443, the numbering of the parts (-2 to -4) could be misunderstood as representing OSI layering. This is not the case, and as the example of ISO 18000 shows, the same standard document may cover layers that are split into multiple parts in a different family.

Furthermore, protocol variants described in the same standards document can be radically different from each other and do not have to be interoperable. For example, it is perfectly acceptable for a standards-compliant ISO 18000-3 Mode 1 device to be unable to communicate with a standards-compliant ISO 18000-3 Mode 2 device.¹

According to [13], the division of ISO 14443 into an A and a B type mirrors the two competing advocates, NXP (type A) and Texas Instruments (type B). Sony unsuccessfully tried to establish an ISO 14443 Type C and then created FeliCa™ (similar to ISO 14443-2 Type B with ISO 14443-3 Type A annex C on top).

Some standards go by many names. For instance, NCF IP-1 [3] is known as ISO/IEC 18092 and ECMA-340, and one of the protocol variants it specifies ($f_C/128$) just reuses ISO/IEC 14443 Type A for its lower layers. Also note that ISO 18092 (NFC) is very different from ISO 18000 (RFID tags).

Among other protocols, [14] specifies the following underlying standards for protocols of the various tag types defined by NFC Forum:

Type		Basis	Standard
1	[15]	NFC-A	NFC IP-1 [3], meaning ISO 14443 Type A
2	[1]	NFC-A	NFC IP-1 [3], meaning ISO 14443 Type A
3	[16]	NFC-F	NFC IP-1 [3], meaning FeliCa™
4A	[17]	NFC-A	NFC IP-1 [3], meaning ISO 14443 Type A
4B	[17]	NFC-B	ISO 14443 Type B

3.2 Bit rates

Timings in NFC are usually expressed in terms of the carrier frequency $f_C = 13.56$ MHz, with subcarrier frequencies and data rates using the notation f_C/n and bit durations n/f_C .

The following table shows the most commonly used rates, the corresponding bit durations, and also mentions the most relevant standard(s) using that rate:

¹ Emphatically stated several times in sections 1.3, 6.0.1 to 6.0.4, 6.1, and 6.2 of [5].

Divider n	Bit rate	Bit duration	Used by ...
	f_C/n kbps	$1/f_C$ μs	
2048	6.62	151	ISO 15693, low rate, single subcarrier
2032	6.67	150	dual subcarrier
512	26.48	38	high rate, single subcarrier
508	26.69	37	dual subcarrier
128	106	9.44	ISO 14443
64	212	4.72	ISO 14443 (after anti-collision), FeliCa TM
32	424	2.36	ISO 14443 (after anti-collision)
16	848	1.18	ISO 14443 (after anti-collision)

NCF IP-1 stretches the rules of FeliCa^{TM2} a little and allows rates up to $f_C/32$.³

3.3 Modulation and coding

In this section we briefly summarize the lower layers of NFC radio protocols. This overview is intended to provide context for the following sections and also to better understand the capabilities and limitations of the chips we examine later on.

All RFID/NFC devices in the HF band operate with a carrier frequency of $13.56 \text{ MHz} \pm 7 \text{ kHz}$.

Since the RF field of the reader also provides power to cards, the communication protocols used in the reader to card direction try to keep the field reasonably constant:

Protocol	Variant	Modulation	Coding
ISO 14443-2	Type A	ASK 100%	modified Miller
	Type B	ASK 10%	NRZ
ISO 15693-2	$256/f_C$	ASK 10 or 100%	PPM $1/256$
	$4/f_C$	ditto	PPM $1/4$
ISO 18000-3	Mode 1	see ISO 15693-2	
	Mode 2	PJM	MFM
FeliCa TM		ASK 10%	Manchester
NFC IP-1	$f_C/128$	see ISO 14443-2 Type A	
	other	see FeliCa TM	

In the opposite direction, the card uses load modulation and the protocols typically aim to produce a stable regular pattern throughout each bit duration:

² Sections 5.2.1 and 5.3.1 of [4].

³ Section 9.2.2.1 of [3].

Protocol	Variant	Modulation	Coding
ISO 14443-2	Type A, $f_c/128$	OOK	Manchester
	other	BPSK	NRZ
ISO 15693-2	single subcarrier	OOK	—
	dual subcarrier	FSK	—
ISO 18000-3	Mode 1	see ISO 15693-3	
	extensions	BPSK/OOK	—
	Mode 2	BPSK	MFMM
FeliCa™		OOK	Manchester
NFC IP-1	$f_c/128$	see ISO 14443-2 Type A	
	other	see FeliCa™	

3.4 Anti-collision

Anti-collision is the process of identifying individual cards or tags (PICC or VICC) in a set of cards or tags that have been brought into the RF field of a reader (PCD or VCD), and then activating one or more specific cards for further communication.

This section summarizes the anti-collision mechanisms used by the protocols specified for RFID and NFC. The main objectives are to provide a rough overview of the variety of protocols and to determine – at a qualitative level – what kind of timing requirements would exist for software implementations of the respective protocols.

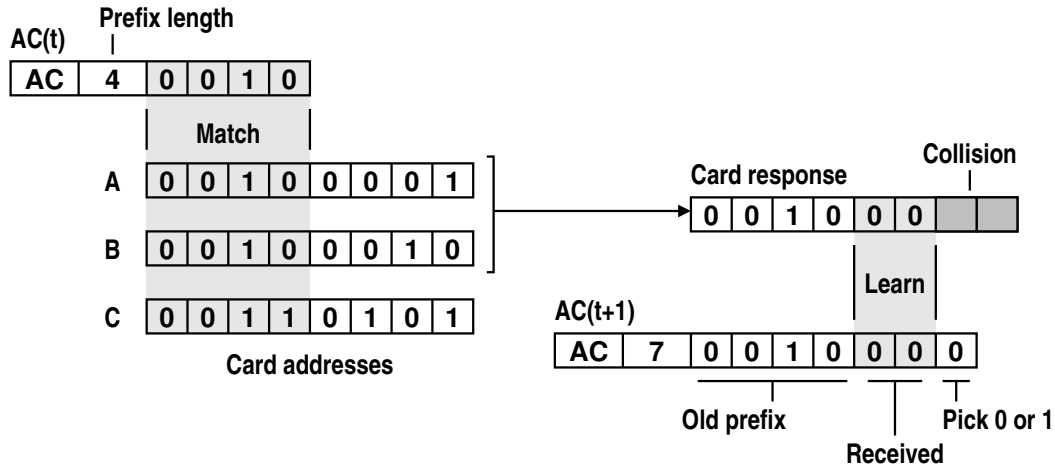
We pay special attention to anti-collision since this is the part of the various NFC and RFID protocols that is most likely to involve delicate timing (e.g., precise detection of collisions at the bit level, see section 3.4.1) and complex modulation schemes (e.g., On-Off Keying (OOK) and Phase-Shift Keying (PSK) in the same message, see section 3.4.6). This in turn determines what hardware capabilities we require from NFC chips in order to handle a given protocol, and what software-based solutions have to do if trying to support a protocol that is not fully supported by hardware.

3.4.1 ISO 14443-3 type A

ISO 14443-3 type A uses an anti-collision algorithm where cards whose addresses match a prefix provided by the reader respond by sending their (unique) addresses bit-synchronously. The reader detects collisions at the bit level, grows the prefix accordingly, and repeats this process until one card has been fully identified.

For example, a reader would first initiate the anti-collision sequence by sending an REQA or WUPA command, to which all suitable type A cards respond with an ATAQ message. The reader would then send an ANTICOLLISION (AC) command with a prefix of length zero. All cards simultaneously respond with their addresses, producing collisions on some bit positions. The reader adds the collision-free bits to the prefix, picks 0 or 1 for the next bit, and sends a new AC command

for the new prefix. This is illustrated in the following diagram where cards A and B match the prefix but then collide in the last two bits:



From a card's point of view, the sequence ends when the prefix matches the entire address of the card (in which case the AC command is called SELECT) and the card then acknowledges this with a SAK (select acknowledge) response.

The protocol is described in detail in sections 6.3 through 6.5 of [7].

3.4.2 ISO 14443-3 type B

ISO 14443-3 type B uses a slotted anti-collision mechanism where the effect of collisions can be observed at the frame level.

The reader begins each anti-collision sequence by sending a WUPB(N) or REQB(N) command with parameter $1 \leq N \leq 16$. Each card then picks an individual random number $1 \leq R \leq N$. If $R = 1$, it immediately sends an ATRB response, possibly colliding with responses from other cards. The reader can then send slot markers SM(s) for $2 \leq s \leq N$ to which cards respond if $R = s$ (using the random number generated upon reception of WUPB/REQB).

The reader can suppress further anti-collision responses from a card by activating it with ATTRIB or by silencing further responses to REQB with the command HLTB. The reader performs the anti-collision sequence whenever it is looking for new cards or when trying to enumerate a set of cards that has entered its RF field.

The protocol is described in detail in sections 7.3 through 7.10 of [7] and more accessibly in Atmel's excellent summary [18]. Atmel also expands that this mechanism exists in two flavours, probabilistic⁴ and slotted, which differ in whether the reader sends slot markers to probe cards with $R > 1$ or whether it just uses successive random number draws until every card has chosen $R = 1$ and thus responded in the first slot.

⁴ As shown in the example in annex D of [7].

3.4.3 ISO 14443-3 type A annex C

Not to be outdone by type B, type A also has an optional slotted anti-collision protocol, described in annex C of [7]. Like in type B, cards respond in randomly selected time slots, but with the difference that time slots are not explicitly signaled by the reader but instead determined by the time that has passed since the REQ-ID command that starts the whole time slot sequence.

While there is no direct command to silence a card, a card that has been identified and activated will remain silent after concluding operation according to ISO 14443-4.⁵

3.4.4 FeliCa

FeliCaTM [4] has basically the same anti-collision protocol as ISO 14443-3 type A annex C (section 3.4.3), but with a different message structure and a reduced set of message types.

3.4.5 ISO 15693-3

The anti-collision mechanism defined in section 8 of ISO 15693-3 [10] combines a prefix mechanism with slots. Like in ISO 14443-3 type A (section 3.4.1), the reader sends an inventory request containing a prefix for the card ID. The cards with matching addresses then respond with their full ID in the respective slot corresponding to the four bits of their ID that follow the prefix. This is similar to ISO 14443-3 type A annex C (section 3.4.3), except that the slot number is not random.

Collisions are detected at the frame level in each slot. Slot numbers are not explicitly signaled by the reader, but instead each card keeps a local slot counter and increments it when the end of a slot is indicated. If a card sees more slots being signaled than expected in a round, it simply ignores the extra slots.⁶

Besides the “Inventory” command, there are also the usual commands for resetting the anti-collision protocol state (“Reset to ready”), to silence a specific card (“Stay quiet”), and to select a card for further communication (“Select”).⁷

Card selection is not required for communication but allows to omit the card’s ID in further messages.⁸

3.4.6 ISO 18000-3 mode 1

ISO 18000-3 mode 1 uses ISO 15693-3 anti-collision⁹ but also features a protocol extension that comes in two major branches called “non-slotted non-terminating multiple tag reading” and “slotted terminating adaptive round multiple tag reading”.¹⁰

⁵ Section C.3 of [7], and also shown in figure C.1 in section C.5.

⁶ Figure 9 in section 8.2 of [10].

⁷ Sections 9.2.1, 9.3.7, 9.2.2, and 9.3.6 of [10], respectively.

⁸ Section 7.2.3 of [10].

⁹ Section 6.1.2 of [5]. ISO 15693 is included in ISO 18000-3 as annex G.

¹⁰ Sections 6.1.10.2 and 6.1.10.4 of [5].

Non-slotted extension The non-slotted extension is refreshingly simple and consists of a Wake-up¹¹ command from the reader, which then causes tags to send their default replies¹² randomly and repeatedly as long as they remain in the field. While timing is not specified, it is recommended that $\frac{\text{Time between replies}}{\text{Duration of reply}} \approx 10$. The reader simply listens for any responses and uses those that are not garbled.

Slotted extension The slotted extension is somewhat similar to ISO 14443-3 type B (section 3.4.2) in that cards respond in randomly selected slots and that slots are explicitly announced by the reader.

Like in ISO 15693-3, cards keep a local slot count that advances at the end of the slot. It differs in that slot counters of tags wrap around – with the drawing of a new random number – at the highest slot number. Different tags may use different highest slot numbers, but the reader can also command a common slot number range.¹³

A reader responding in a slot sends a two-part response consisting of a so-called precursor used for collision detection,¹⁴ followed by the actual response.¹⁵

If a collision is detected, the reader can either end the slot after the precursor (the cards have to turn around and listen between precursor and main reply) or by indicating an error at the end of the regular slot duration.¹⁶ While the timing of whole slots is provided by messages sent by the reader, the phases inside a slot (i.e., precursor, possible early termination, main reply) are determined by the time since the beginning of the slot.¹⁷

3.4.7 ISO 18000-3 mode 2

ISO 18000-3 mode 2 is designed to work with very large tag populations in the same field¹⁸ and differs substantially from all the above protocols. It uses a novel modulation scheme for a single communication channel from the reader to cards,¹⁹ and eight reply channels distinguished by their subcarrier frequencies for card responses.²⁰ Readers may receive on all eight channels simultaneously but can also support only operation on a single channel.²¹ Last but not least, tags can be

¹¹ Section 6.1.11.2.13 of [5].

¹² Sections 6.1.10.16 and 6.1.10.17 of [5].

¹³ The general sequence is defined in sections 6.1.10.4 and 6.1.10.7 of [5]. The commands are defined in the following sections: Wake-up (begins a round), 6.1.11.2.12; Next-slot, 6.1.11.2.1 through 6.1.11.2.3; New-round-size (sets new highest slot number and resets the slot counters in tags), 6.1.11.2.16.

¹⁴ Message sequence in section 6.1.10.10, precursor format in 6.1.10.12, PSK of sub-carrier for the leader in section 6.1.10.18.2, and OOK for the collision detection sequence in sections 6.1.10.18.3 and 6.1.10.18.4.

¹⁵ Sections 6.1.10.10 and 6.1.10.11 for the message sequence, 6.1.10.16 and 6.1.10.17 for the main reply format, PSK in section 6.1.10.19.

¹⁶ Explained in section 6.1.10.5, the “ultimate-error” command is described in section 6.1.11.2.7.

¹⁷ Figure 4 and table 2 in section 6.1.10.5 of [5].

¹⁸ Table 26 in section 6.2.6 of [5] mentions a tag inventory of more than 32 000 tags.

¹⁹ Phase Jitter Modulation (PJM), see annex A of [5].

²⁰ Section 6.2.3.3.1 of [5].

²¹ Section 6.2.7.3.1, example in section 6.2.7.8. Single channel selection is described in table 20 in section 6.2.5.16.3.2.

randomly muted²² or they can be individually ordered to remain silent.²³

There are only two command types: read and write. There is no slotting.

3.4.8 ISO 18000-3 mode 3

A third mode was added to ISO 18000-3, for which no freely available information could be found.

3.4.9 NFC IP-1

An NFC initiator performs CSMA/CA, i.e., it can activate its RF field only if it does not detect the presence of another field.²⁴ This is called “RF collision avoidance.”

In passive mode, this only affects access to the ether, but in active mode, RF collision avoidance is also used for selecting a target (i.e., the one with the shortest random delay).²⁵

In passive mode at $f_c/128$, NFC uses ISO 14443-3 type A anti-collision (section 3.4.1) with a new codepoint indicating NFC in the SAK message sent by the NFC target.²⁶

In passive mode at $f_c/64$ and $f_c/32$, NFC uses FeliCaTM.²⁷

3.4.10 Summary

The following table summarizes the key characteristics of the various anti-collision mechanisms:

Protocol	Variant	Separation	Time-based
ISO 14443-3	Type A	Prefix	Bit collision
	Type B	Random slot	—
	Annex C	Random slot	Slot
FeliCa TM		Random slot	Slot
ISO 15693-3		Prefix, deterministic slot	—
ISO 18000-3	Mode 1	see ISO 15693-3	
	non-slot extension	Random delay	—
	slot extension	Random slot	Phase in slot
	Mode 2	Random channel, mute	—
NFC IP-1	$f_c/128$	see ISO 14443-3 Type A	
	other	see FeliCa TM	

²² Section 6.2.7.3.2.2, example in section 6.2.7.9.

²³ “Fully muted” in section 6.2.7.3.2, “temporarily muted” in the example in section 6.2.7.8. The mechanism for putting a tag in fully muted state is described in section 6.2.5.16.7, the corresponding code point is in table 20 of 6.2.5.16.3.2.

²⁴ Section 11.1.1 of [3].

²⁵ Section 11.3 of [3].

²⁶ Section 11.2.1 of [3].

²⁷ Section 11.2.2 of [3].

“Separation” is what prevents multiple cards from always replying at the same time. “Time-based” describes the element of the anti-collision protocol that has the tightest timing requirements.

3.5 Framing

Framing of messages in the various NFC protocols is not covered in this document. The chips we discuss later implement some types of framing in hardware and usually provide some form of “raw” access to the radio interface to allow external digital hardware to implement codings and framings the respective NFC chip does not support natively.

3.6 Higher layers

There can be many additional protocol layers on top of anti-collision and framing, particularly in the case of NFC peer-to-peer operation. See for example figure 1 in section 1 of [19], with additional details in figure 14 in section 6.²⁸ “Smart” NFC chips may implement some elements from these protocols while “dumb” chips will just pass frames to the host and let it take care of the rest.

²⁸ The same document also serves as a warning against overly optimistic expectations regarding interoperability: the experimental results in section 9 show that the chances for successful peer-to-peer communication with contemporary smartphones were rather low when using anything other than NFC-F and the smartphone acting as initiator.

4 Available protocol stacks

A surprisingly large number of NFC stacks is available for Linux. They can be characterized as follows: [20]

libnfc-nxp NXP-centric vendor stack for Android.

<https://android.goglesource.com/platform/external/libnfc-nxp/>

Open NFC Another vendor stack, this time from Inside Secure.

<http://open-nfc.org/>

librfid The user-space stack of the OpenPCD project. Now defunct and replaced by libNFC.

http://www.openpcd.org/Host_Software#librfid

libNFC Community project developing a user-space stack centered on the NXP PN53x chip family.²⁹

<http://nfc-tools.org/>

Linux NFC Kernel-based vendor-neutral (at the time of writing, the stack had drivers for devices from Inside Secure, Marvell, NXP, Sony, STM, and Texas Instruments) stack, following the regular development model for the Linux kernel.

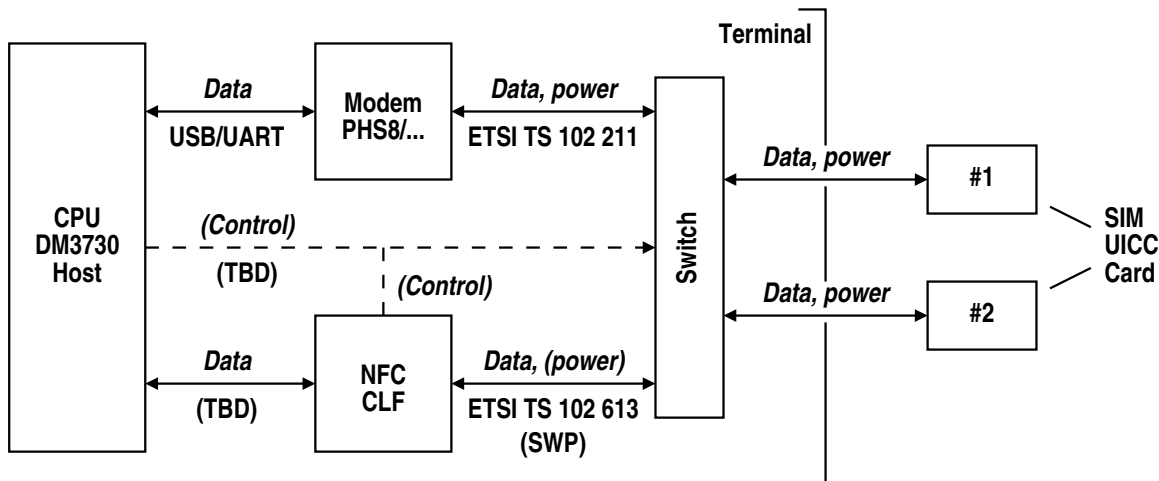
<https://01.org/linux-nfc>

The kernel-based Linux NFC project clearly looks like the future and we can probably safely ignore the other projects.

²⁹ http://nfc-tools.org/index.php?title=Devices_compatibility_matrix

5 Neo900 hardware architecture

The following drawing shows the overall structure of the part of the Neo900 architecture we're concerned with here:



Modem and NFC subsystem both access the SIM cards through a switch that distributes data signals and power from both sources to the cards. The modem communicates with the protocol defined in [22], while the NFC subsystem uses the Single Wire Protocol (SWP) defined in [23]. Both protocols share the same power rails but use different signals for communication.

Coordination between CPU, NFC subsystem, and the switch is not defined yet, which is indicated with a dashed line.

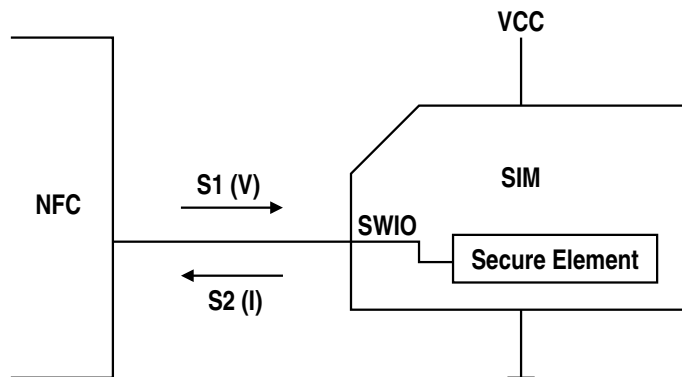
Further details on SIM card switching is outside of the scope of this document and may be addressed in a separate publication. For simplicity, in the remainder of this document, we will assume that only one SIM card is present in the system.

The card or tag is commonly known as SIM but is also called Universal Integrated Circuit Card (UICC) in ISO parlance, and when the context is unambiguous, we may simply refer to it as “card” or “tag”. The system’s main CPU, the TI DM3730, is sometimes also called “host”. The NFC subsystem is called ContactLess Frontend (CLF) in [23]. We will use the terms UICC and CLF only rarely in this document, but the reader will encounter them when following some of the references.

The entire “phone” is – from the SIM card’s point of view – a “terminal”.

6 SWP

As its name suggests, the Single Wire Protocol consists of a single wire (called SWIO) connecting the NFC subsystem and the SIM:



The lower layers of SWP are defined in [23]. It is intended to convey configuration data and radio messages related to ISO 14443-3 type A [7] and NFC IP-1 [3] between NFC and the Secure Element in the SIM.

Bidirectional communication is made possible over this single wire by using voltage signaling (signal S1) from NFC to SIM, and current signaling (signal S2) from SIM to NFC. Section 6.3 contains a detailed illustration of this process.

6.1 Voltages

The supply voltage of the SIM card for SWP use has to be³⁰ either class B or C, which are defined as 2.7–3.3 V and 1.62–1.98 V, respectively.³¹ The voltage on SWIO is confusingly defined as either absolute (class B and sometimes class C) or relative to V_{CC} (class C).³² The following table summarizes the voltage levels at the card interface, for simplicity assuming V_{CC} in class C to be exactly 1.8 V:

Voltage	Class	Absolute (V)		$\times 1.8$ V	
		Min	Max	Min	Max
V_{OH}	B	1.40	1.98	0.78	1.1
	C	1.53	1.8	0.85	1
V_{OL}	B	0	0.3	0	0.17
	C	0	0.27	0	0.15
V_{IH}	B	1.13	2.28	0.63	1.27
	C	1.26	2.1	0.7	1.17
V_{IL}	B	-0.3	0.48	-0.17	0.27
	C	-0.3	0.45	-0.17	0.25

³⁰ Section 7.1.1.1 of [23].

³¹ Sections 5.2.1 and 5.3.1 of [22].

³² Tables 7.3 and 7.4 in section 7.1.3 of [23].

Values defined by the standard are shown in boldface, the other values are calculated. Note that V_{IH} must be guaranteed for currents up to $1000 \mu\text{A}$ (into the card) and V_{IL} for currents up to $-20 \mu\text{A}$.³³

It is confusing that the standard would specify output and input voltages, given that SWIO is voltage-operated in one direction and current-operated in the other, and one would therefore expect input and output to be identical as far as voltages at this interface are concerned.

6.2 SWIO states

We can combine the worst-case voltage requirements from above with the possible states of S1 and S2 and the corresponding currents that may flow:

S1	S2	Voltage (V)	Current (μA)
L	—	< 0.27	≤ 20
H	0	≥ 1.53	≤ 20
H	1	≥ 1.53	600–1000

For example, the interpretation of S1=H, S2=1 is that the host must be able to detect an S2=0 condition if the card draws at least $600 \mu\text{A}$, and that the voltage at the card’s SWIO pin must be at least 1.53 V if the card draws up to 1 mA .

Note that these worst-case requirements are probably too strict and lead to an operating point very close to the supply voltage . If we decide to use more relaxed bounds, the circuit will be able to have larger tolerances margins.

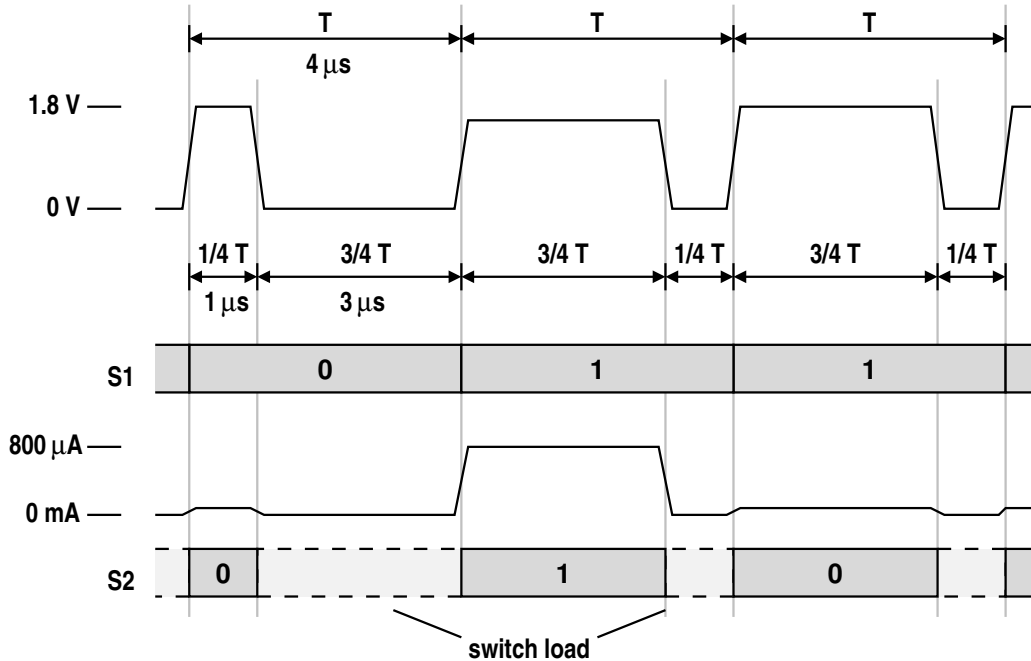
6.3 SWP bit encoding

The default bit duration is $1\text{--}5 \mu\text{s}$.³⁴ Each bit period begins with a rising edge on SWIO and a high level of $1/4$ (to send a “0” on S1) or $3/4$ (to send a “1’), followed by the falling edge and a low level until the end of the bit period.

The following diagram illustrates transmission on S1 and S2. For simplicity, we use a nominal bit time of $4 \mu\text{s}$, a nominal voltage of 1.8 V , and a nominal high current of $800 \mu\text{A}$ ($800 \pm 200 \mu\text{A}$).

³³ Table 7.5 in section 7.1.4.1 of [23].

³⁴ Table 8.1 in section 8.1 of [23].

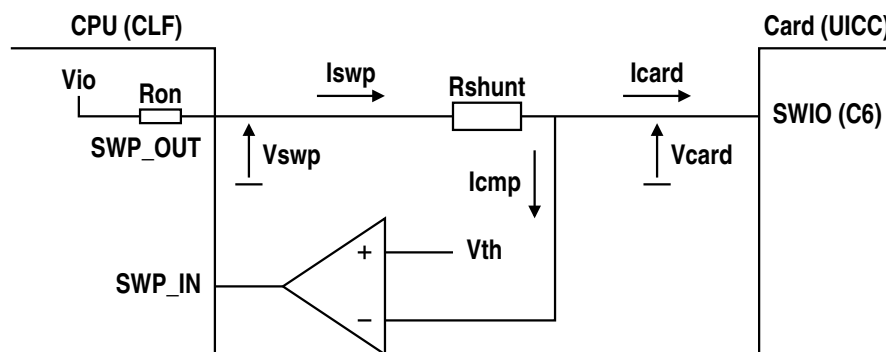


The card switches its load characteristics while SWIO is low, and the state of S2 is only defined while SWIO is high. Further details can be found in section 8 of [23].

Depending on implementation constraints, one may prefer a faster or a slower bit rate than indicated in the example above. A low rate may be preferable if the CPU is unable to toggle IO pins quickly or if measuring the S2 signal is slow. A fast rate may be preferable for more rapid communication and if there are large positive delay variations on CPU operations, e.g., caused by background activity such as cache or DMA operations.

6.4 S2 current detection

Devices that would allow direct detection of currents that result in only small voltage changes are not commonly available in SoCs or MCUs. A simple circuit to measure the S2 current would involve a series resistor on SWIO that acts as shunt, and an analog comparator or similar that compares the resulting voltage drop against a threshold voltage. The following diagram shows a common configuration of such a circuit:



In this circuit, the comparator would output a “1” if

$$V_{\text{CARD}} < V_{\text{TH}} - (V_{\text{H}} + V_{\text{OFF}})$$

and “0” if

$$V_{\text{CARD}} > V_{\text{TH}} + V_{\text{H}} + V_{\text{OFF}}$$

with

$$V_{\text{CARD}} = V_{\text{IO}} - (R_{\text{ON}} + R_{\text{SHUNT}}) \cdot (I_{\text{CARD}} + I_{\text{CMP}})$$

and the following parameters:

Parameter	Description
V_{IO}	Supply voltage for SWP_OUT driver
V_{H}	Hysteresis (may be zero)
V_{OFF}	Comparator offset voltage
R_{ON}	On-state resistance of SWP_OUT driver
R_{SHUNT}	Resistance of external shunt resistor
I_{CARD}	Current drawn by the card’s SWIO pin
I_{CMP}	Input leakage current of the comparator

To permit reliable detection of S2 states, we therefore need

$$(R_{\text{ON}} + R_{\text{SHUNT}}) \cdot 580 \mu\text{A} \geq 2 \cdot (V_{\text{H}} + V_{\text{OFF}})$$

where $580 \mu\text{A}$ is the difference between the minimum current at S2=1 and the maximum current at S2=0, or

$$R_{\text{SHUNT}} \geq \frac{V_{\text{H}} + V_{\text{OFF}}}{290 \mu\text{A}} - R_{\text{ON}}$$

Furthermore, to meet the voltage level requirements from section 6.2, we need:

$$R_{\text{SHUNT}} \leq \frac{V_{\text{IO}} - 1.57 \text{ V}}{1000 \mu\text{A} + I_{\text{CMP}}} - R_{\text{ON}}$$

and

$$R_{\text{SHUNT}} \leq \frac{0.27 \text{ V}}{20 \mu\text{A} + I_{\text{CMP}}} - R_{\text{ON}}$$

The DM3730 contains no analog elements and the ADC in the TPS65950 companion chip has conversion times of tens of microseconds, which would be far too slow for SWP.³⁵

³⁵ Table 5-77 in section 5.6.3.1 of [24].

To implement a detection circuit similar to the above example, a comparator external to the CPU would be needed. This could be in the form of a dedicated chip or by using a comparator circuit in another system component. Section 8.5 discusses a possible configuration using the built-in comparator of a Kinetis KL26 series MCU.

6.5 SIM card power and card activation

This section discusses the card activation process, i.e., provisioning of power and the communication required before an SWP interface can be used. We also consider the role of the modem and the consequences of sharing a SIM card between modem and NFC.

6.5.1 Card activation

The SWP standard defines³⁶ card power-up (“activate the contact C1 (Vcc)”) such that communication over the SIM’s RST (C2), CLK (C3), and I/O (C7) pins is required. Furthermore, the availability of SWP functionality in the card is also signaled over the same interface.³⁷

From this it would seem that any SWP user must either have the ability to communicate with the SIM over the regular data interface directly, or be able to coordinate SIM power-up and capabilities with the entity that controls this interface, i.e., the modem.

6.5.2 Role of modem

Unfortunately, we found no indication in [26] that the modem would allow the host to control SIM activation, or that the modem would give access to the ATR information (including SWP support) obtained from the card during activation. There is also no separate hardware interface that would allow a 3rd party to request SIM activation.³⁸

Also after activation, the fate of the SIM card is uncertain: while it seems unlikely that the modem would decide on its own to power down the card completely, it can enter clock stop mode with a reduced current consumption of 100 μA .³⁹

However, this reduced power consumption is only applicable if no other interfaces (such as SWP) are active. Since the modem has no way of knowing whether this is the case, we may have to assume that it expects the SIM card to adhere to the 100 μA limit when in clock stop mode.

³⁶ Section 6.2.2 of [23], referring to section 4.5.2.1 [22], which in turn invokes the procedure defined in section 6.2 of [25].

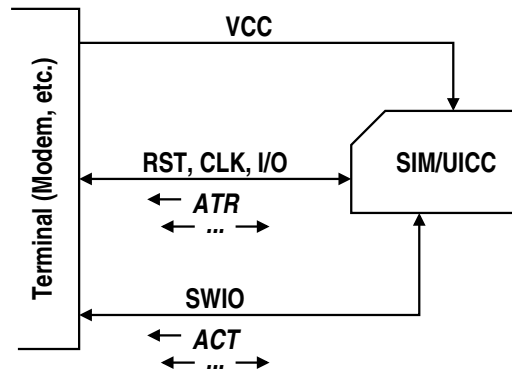
³⁷ According to section 5.3 of [23], UICC-side support is indicated in the “Global Interface” bytes in the ATR (Answer to reset) defined in section 7 of [25] using the encoding from table 6.7 in section 6.3.3 of [22]. Terminal-side SWP capability is communicated at a later point.

³⁸ Table 22 in section 6.5 of [27].

³⁹ Clock stop is defined in section 6.3.2 of [25] and the corresponding power consumption is defined in sections 5.2.1 (class B) and 5.3.1 (class C) of [22].

6.5.3 A missed opportunity

The following drawing summarizes the activation process:



The terminal (modem, etc.) first applies the lowest available voltage to the SIM card. The card may then send an ATR message on the serial interface using CLK and I/O. If the terminal receives no message, it switches to the next higher voltage, waits again for an ATR message, and so on.

Once ATR has been received, card and terminal can communicate some more over the same interface. Once this initial dialog has concluded, the SIM card is fully operational and the terminal can proceed with activating the SWP interface.

To do this, it raises the SWIO pin and then waits for a response using ACT (ACTivation protocol). If no ACT response arrives, the terminal can try to raise the SIM card by sending an ACT frame on its own, but [23] has no provision for negotiating a voltage.⁴⁰

We can conclude from this that the standard clearly expects that any user of SWP will be able to cooperate closely with the modem when it comes to card activation.

6.5.4 Avoiding deactivation

Section 10.6.4 of [28] describes that the chip is able to supply the card with 1.8 V when the phone is deactivated. From the available description it is not clear whether this is expected to work also in cases where the card has not been previously activated through the modem.

Since deactivation by the modem⁴¹ requires the removal of power, it should be possible to retain access to the SWP interface of an activated card indefinitely by ensuring that the card's VCC is never allowed to drop.

Note the standard explicitly states that a "warm reset" using the RST signal⁴² must not affect the state of the SWP interface.⁴³

⁴⁰ Section 6.2.3.1 of [23].

⁴¹ Section 6.4 of [25].

⁴² Section 6.2.3 of [25].

⁴³ Section 5.4 of [23].

6.5.5 Power consumption

The SIM card can draw the following maximum current, depending on the selected voltage class and power mode:⁴⁴

Voltage class	Power mode	Maximum current	Unit
B	—	50	mA
C	Full	30	mA
	Low	5	mA

The above applies to current consumption negotiated between card and terminal. Table 6.4 in section 6.2.3 of [22] also defines a minimum current of 10 mA the terminal must be able to supply, which seems to be intended to apply irrespective of what current has been negotiated.

⁴⁴ Table 6.3 of section 6.2.3 of in [22] for full power mode, table 7.1 of section 7.1.2 in [23] for low power mode.

7 NFC chip choices

We considered the following NFC chips: AMS AS3909/3910 [29] and AS3911B [30]; NXP PN512 [31], PN532 [32], and PN544 [33]; and TI TRF7970A [34]. There are many more NFC chips on the market, but they are less known in the developer community and what little documentation for them is publicly accessible would be inadequate for an evaluation even as superficial as this one.

The chips we consider fall into two categories: “dumb” chips that implement the radio interface and the protocol processing up to the level of frames, and “smart” chips that contain a microcontroller core and that can also perform functions of higher protocol layers.

The following table summarizes the roles the chips play in the developer community:

Chip	Smart	Documentation	Community
AMS AS3910	No	good	unknown
AMS AS3911B	No	good	unknown
NXP PN512	No	good	unknown
NXP PN532	Yes	limited	popular
NXP PN544	Yes	insufficient	mixed
TI TRF7970A	No	good	very popular

One can see that the availability of documentation is inversely proportional to the “intelligence” of a chip. The PN544 enjoys some popularity among software developers, which is probably mainly due to the fact that it is often used in NFC-capable smartphones. Unfortunately, it is nearly impossible to find any usable information on the hardware. The situation is similar but not quite as grim with the PN532, which has become a fairly popular choice in the “maker” scene.

All the “dumb” chips come with good documentation and particularly the TRF7970A excels in this regard, with hardware design guides and also detailed programming examples for various use cases. While the AMS chips and the NXP PN512 seem to be ignored by the Open hardware and software scene, the TRF7970A has gathered a certain following.

At the time of writing, the Linux kernel contained drivers for PN532, PN544 and TRF7970A.

7.1 Feature summaries

The following sections contain summaries of key features that are similar in all chips. They are later supplemented with more in-depth discussions of the respective chips and their properties.

7.1.1 Cost and availability

We consulted availability of the chips at major distributors as of 2014-12-22. Unit prices are in USD for an order of 1000 units. If multiple variants of the same chip were available, the price of the least expensive was chosen.

Chip	Digi-Key		Mouser		Newark	
	Stock	Price	Stock	Price	Stock	Price
AS3909-BQTM	●	2.83	—	2.62	—	—
AS3911B	—	—	—	—	—	—
PN5120A0HN1/C2,151	○	3.38	○	3.37	●	3.57
PN5321A3HN/C106;55	●	5.59	○	5.59	—	7.13
PN5441A2ET/C20501 ⁴⁵	—	—	—	4.05	—	5.91
TRF7970ARHBR	●	4.31	●	4.18	●	4.03

Stock is indicated as ● if there were 1000 or more units stocked, ○ if there less than 1000 but more than zero units (possibly combining different forms of presentation, e.g., tape and tray), and “—” if there is no stock. A price of “—” means that the part is not listed in the catalog.

Note that an older version of the AS3911B exists which is called AS3911-BQFT. Despite the “B” almost at the right place, this is not the AS3911B. The AS3911 is widely available but the AS3911B seems to be too new to have reached distributors yet.

7.1.2 Protocol support

The table below compares support for the various NFC protocols at the level of modulation, encoding, and framing. This information is compiled from vendor documentation and not based on actual tests. Furthermore, some functionality a vendor claims not to support may be available through “raw” mode.

Capabilities are indicated with the following symbols:

Symbol	Meaning
●	Supported (according to documentation)
○	Support possible via “raw” mode
—	Not supported
★	Supported (MIFARE TM extension)
?	Documentation ambiguous or insufficient

For each protocol variant and bit rate, the capabilities are shown for the initiator role and the target role (initiator/target). If a capability is completely absent, we use — instead of -/-.

⁴⁵ The part number listed by Mouser and Newark does not seem to fit NXP’s regular naming scheme. However, the part number could not be verified since [33] does not include it with the ordering information.

Protocol	Variant	kbps	AS3910 ⁴⁶	AS3911B ⁴⁷	PN512 ⁴⁸	PN532 ⁴⁹	PN544 ⁵⁰	TRF7970A ⁵¹
ISO 14443	Type A	106	●/–	●/●	●/●	●/●	●/●	●/●
		212	●/–	●/–	●/★	●/★	●/●	●/–
		424	●/–	●/–	●/★	●/★	●/●	●/–
		848	●/–	●/–	—	—	●/●	●/–
	Type B	106	●/–	●/?	●/?	●/?	●/●	●/●
		212	●/–	●/–	●/?	●/?	●/●	●/–
		424	●/–	●/–	●/?	●/?	●/●	●/–
		848	●/–	●/–	—	—	●/●	●/–
FeliCa		212	○/?	●/–	●/●	●/●	●/●	●/●
		424	○/?	●/–	●/●	●/●	●/●	●/●
ISO 15693	Single	6.62	○/?	?/?	—	—	●/–	●/?
		26.48	○/?	?/?	—	—	●/–	●/?
	Double	6.67	○/?	?/?	—	—	—	●/–
		26.69	○/?	?/?	—	—	—	●/–

NFC IP-1 is not explicitly mentioned here. At 106 kbps it equals ISO 14443 Type A, and at higher rates it equals FeliCa™.

7.1.3 Raw mode

For a maximum of flexibility, it is desirable to be able to bypass the framing mechanisms included in the respective NFC chips and to control the radio interface directly from a CPU.

In the transmit direction, the CPU either sends a bit stream that is then encoded by the NFC chip and used to modulate the RF field, or there can be a pin that leads directly to the transmitter, giving the CPU immediate control over modulation. In the receive direction, the NFC chip can

⁴⁶ A short overview of features is on page 1 [29]. More details can be found on pages 54–59. Figure 2 on page 2 claims that ISO 15693 and FeliCa™ can be implemented using transparent raw mode.

⁴⁷ A short overview of features is on page 1 of [30]. More details can be found on pages 121–135. There is one somewhat enigmatic mention of ISO 15693 on page 136, suggesting that support may be possible in transparent mode. The data sheet never suggests the possibility of the chip operating as FeliCa™ card or NFC IP-1 passive communication target.

⁴⁸ Capabilities are summarized in sections 2 and 3 of [31]. Details can be found in sections 8.1 to 8.4.6.

⁴⁹ Capabilities are summarized in section 1 of [32]. Details can be found in sections 7.1.3 to 7.1.5.

⁵⁰ Figure 1 on the front page of [33] gives a nice overview. Details can be found in section 8.

⁵¹ Most capabilities are described in table 3-1 in section 3 of [34]. This table also confusingly mentions that ISO 14443 Type A/B at 848 kbps only applies to reader/writer mode. ISO 15693 subcarrier details are in section 6.5, table 6-7. Support for ISO 18000 is also claimed, which probably means Mode 1, equivalent to ISO 15693. Supporting MIFARE™ Classic and MIFARE™ Ultralight at 106 kbps (via direct mode) is discussed in section 8 of [35]. It may be possible to perform Card Emulation also for ISO 15693 using direct mode, see section 7.1.3.

either perform demodulation, bit decoding and clock recovery, and present a clocked bit stream to the CPU, or it can just output the demodulated radio signal (without clock) and leave all the rest to the CPU.

We call any such mode a “raw” mode. AMS call it “transparent mode”, TI call it “direct mode”, and NXP describe it in terms of bypassing elements instead of considering it a proper mode of operation. Some chips may also implement modes in which basic framing is performed but with relaxed parity or CRC checking or similar simplifications.

Capabilities Chip documentation tends to be somewhat vague on the exact capabilities and limitations of raw modes. For example, for the TRF7970A only modes corresponding to a reader or initiator role are described,⁵² i.e., suggesting that load modulation may not be possible in raw mode, but discussion on the TI support forum⁵³ suggests that it may be possible to perform Card Emulation for ISO 15693 using raw mode, which in turn would imply that load modulation is supported in raw mode.

Furthermore, the TRF7970A is reportedly capable of acting as a sniffer for both initiator and target without configuration changes between transmission and reception.⁵⁴

The TRF7970A also supports a number of “high-level” raw modes. They are described in more detail in section 7.7.1.

The AS3910⁵⁵ appears to only support raw mode in a reader role. The AS3911B appears to be considerably more advanced,⁵⁶ with the same basic functionality as the AS3910 but also a “stream” mode where encoding and decoding are performed by the AS3911B and data passes through the FIFO. The documentation explicitly mentions the use of raw mode for future extensions of NFC IP-1, non-standard framing of ISO 14443, and MIFARE™.

The PN512 can be configured to let an external source directly control modulation, it gives access to the envelope on the receive side,⁵⁷ and can output the RF clock as well.⁵⁸ It may also be possible to obtain a decoded and clocked bit stream, but we did not examine this option in detail.

The PN532 may offer the same functionality in PN512 emulation mode (section 2.2 of [36]) but it is not clear whether the compatibility really goes that deep. Available information for the PN544 does not mention any “raw” mode and does not give enough details to determine whether this kind of functionality could be implemented using test modes.

Digital interface The AMS chips reuse the MOSI and MISO pins of the SPI interface for modulation and envelope output. The AS3911B can also output a phase-demodulated signal on

⁵² Step 3 in the example in section 6.10.6 of [34].

⁵³ NFC/RFID Forum, “Does TRF7970A support ISO 15693 card emulation?”
http://e2e.ti.com/support/wireless_connectivity/f/667/t/342797

⁵⁴ NFC/RFID Forum, “NFC Sniffer”
http://e2e.ti.com/support/wireless_connectivity/f/667/t/330333

⁵⁵ Page 66 of [29].

⁵⁶ Pages 140 to 144 of [30].

⁵⁷ Fields DriverSel and SigOutSel in register RxModeReg in section 9.2.2.7 of [31].

⁵⁸ Field SAMClkD1 in register TestSel1Reg in section 9.2.4.2 of [31].

IRQ.⁵⁹

PN512 uses dedicated pins SIGIN and SIGOUT for modulation and envelope, and D1 to output a clock derived from the carrier frequency. There is no corresponding information for PN532 and PN544.

The TRF7970A uses different pins depending on the type of raw mode. We examine this in detail in section 7.7.3.

7.1.4 Host interface

The following table summarizes how the chips connect to the host:

Chip	Host interface		1.8 V	FIFO (Bytes)
	Regular	Raw mode		
AMS AS3910	SPI	on SPI	–	32
AMS AS3911B	SPI	SPI, extra	•	96
NXP PN512	SPI, I ² C	separate	•	64
NXP PN532	SPI, I ² C	?	•	64
NXP PN544	SPI, I ² C	?	•	?
TI TRF7970A	SPI	separate	•	127

The host interface usually consists of one channel for commands and frame data, and one or more channels for bit streams or modulation signals in raw modes. These two channels can share the same pins (AMS) or they can use a completely different set of pins (NXP and TI).

“1.8 V” indicates whether the chip can operate with an IO voltage of 1.8 V. Note that the main supply voltage is always higher, as shown in section 7.1.5.

The FIFO size determines either a) the maximum latency for FIFO reads during reception (if the received frame is larger than the FIFO), or b) the maximum size a frame can have to be sent or received without having to access the FIFO during transmission.

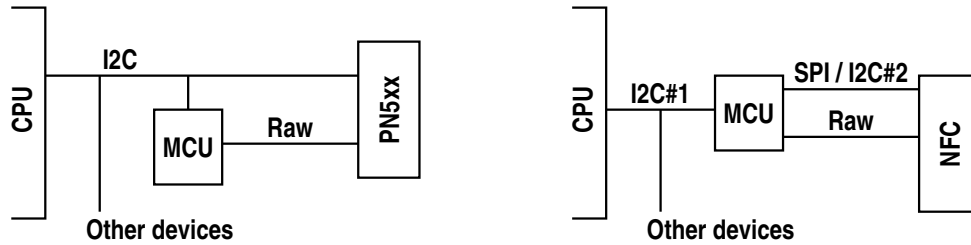
SPI sharing AMS and TI use the select signal of the SPI interface to signal the end of raw mode. They therefore expect that the SPI bus can be assigned to NFC use for long periods of time, which may be undesirable if sharing the bus with other users.

The PN512 separates the command interface clearly from other uses and could therefore share the SPI bus. There is insufficient information about PN523 and PN544 to determine whether they have similar characteristics.

I²C sharing Since the PN512 (like all the other NXP NFC chips) has an I²C interface, one could connect it directly to one of the I²C buses of Neo900. In the likely event that an MCU is needed

⁵⁹ Page 141 of [30].

for operations with tight timing requirements (raw mode, SWP, etc.), this would result in the two following possible topologies:



Sharing the same bus for communication between all three parties would allow operating the NFC chip from the CPU without involving the MCU at all. As a drawback, the MCU would have to switch between master and slave roles, and communication between MCU and NFC chip would increase occupancy of the I²C bus and be subject to its arbitration rules.

The MCU has to relay all communication between CPU and NFC chip if a dedicated I²C or SPI bus is used between MCU and NFC.⁶⁰

7.1.5 Power consumption

The following table summarizes the supply voltage ranges of the various chips, and their current consumption in typical operation states. “Off” is the lowest power state the chip can be commanded to enter. “Field detect” is a low-power state from which the chip can awaken when it enters the field of an active reader. “Idle” is a typical state where the chip is operational but not actively communicating. “Transmit” is when it is transmitting with maximum power.

Chip	Voltage		Current						
	V _{IN}	V _{IO}	Off		Field detect		Idle		Transmit
Unit	V	V	μA		μA		mA		mA
			Typ	Max	Typ	Max	Typ	Max	Max
AS3909 ⁶¹	2.4–3.6	V _{IN}	0.3	2	3.5	7	2	3	?
AS3911B ⁶²	2.4–5.5	1.65–5.5	0.7	2	3.5	7	5.4	7.5	500
PN512 ⁶³	2.5–3.6	1.6–3.6	–	5	–	10	9.5	19	114
PN532 ⁶⁴	2.7–5.5	1.6–3.6	–	2	–	45	25	–	186
PN544 ⁶⁵	2.3–5.5	1.6–3.3	5	–	10	–	?	–	100
TRF7970A ⁶⁶	2.5–5.5	1.8–V _{IN}	0.5	5.0	3.5	?	1.9	3.5	150

⁶⁰ This would require some amount of customization in the bottom end of the (kernel) driver, but we can expect some work of this sort to be needed no matter how the NFC chip is connected to the main CPU. In any case, the driver would benefit from being able to delegate low-level tasks like the timely handling of the FIFO to the MCU.

⁶¹ Single supply voltage from figure 7 on page 7 of [29], all other parameters from figure 9 on page 8.

⁶² V_{IN} and V_{IO} from figure 6 on page 8 of [30], maximum transmit power from figure 5 on page 6, all other parameters from figure 9 on pages 9 and 10.

⁶³ V_{IN} and V_{IO} from table 1 in section 4 of [31], all other parameters from table 169 in section 26. Idle and transmit current are sums across several supply inputs.

⁶⁴ All parameters are from table 1 in section 4 of [32]. Maximum transmit current is the sum of several

Of these chips, only the PN532 and PN544 support field-powered operation.

7.1.6 Antenna interface

The various chips all have low-impedance outputs and require external components for antenna matching and for mixing the TX and RX signals.

Chip	Impedance		Example circuit			50 Ω port
	TX	RX	L	C	R	
AS3910 ⁶⁷	1.5 Ω	10 k Ω	–	4	1	No
AS3911B ⁶⁸	0.6 Ω	10 k Ω	1	5	1	No
PN512 ⁶⁹	3 Ω	350 Ω	2	8	4	No
PN532 ⁷⁰	?	?	2	8	4	No
PN544 ⁷¹	?	?	2	6+1	6	No
TRF7970A ⁷²	4 Ω	10 k Ω	2	13	1	Yes

The component counts omit items that have no effect (DNP, 0 Ω , etc.)

All designs based on the TRF7970A and its predecessor the TRF7960 the author could find included a 50 Ω port in the path towards the antenna, with the corresponding impedance matching. It may therefore be possible to achieve some simplification by omitting this port. The designs by AMS and NXP do not include such ports.

7.2 AMS AS3909/3910

The AS3909⁷³ is a very basic NFC chip mainly designed for readers. The AS3910⁷⁴ is very similar except that it contains advanced antenna tuning capabilities.

supply inputs.

⁶⁵ All parameters are from table 1 in section 4 of [33].

⁶⁶ V_{IO} according to table 4.1 in section 4.2 of [34], section 6.1.3 for current with field detection, section 5.2 for V_{IN} , and section 5.3 for all other parameters.

⁶⁷ Impedances in figure 9 on page 8 of [29], example circuit (without component values) in figure 10 on page 10.

⁶⁸ Impedances in figure 9 on page 11 of [30], example circuit (without component values) in figure 10 on page 12. A more complex example circuit with component values, similar to the one in figure 11 can be found on page 7 of [37].

⁶⁹ Impedance in table 169 in section 25 of [31], example circuit (without component values) in figure 38, section 22. Antenna matching is described in much more depth in excellent [38].

⁷⁰ Impedance is not specified in available documentation, but we may assume it to be equivalent to the PN512. Example circuit in figure 13, section 9 of [32]. Note that load modulation can be achieved without the additional circuit on pin LOADMOD. [38] also applies to the PN532.

⁷¹ Impedance is not specified in available documentation. Example circuit in figures 13 and 14, section 12 of [33]. The component count is for the design variant not supporting field-powered operation.

⁷² Impedance in section 7.4 of [34], example circuit in figure 7-1 in section 7.1.2, antenna matching in [39].

⁷³ <https://www.ams.com/eng/Products/NFC-HF-RFID/NFC-HF-RFID-Reader-ICs/AS3909>

⁷⁴ <https://www.ams.com/eng/Products/NFC-HF-RFID/NFC-HF-RFID-Reader-ICs/AS3910>

The limited radio capabilities, the 3.3 V host interface, and the apparent lack of support in the developer community make these chips unattractive for our purposes.

7.3 AMS AS3911B

The AS3911B⁷⁵ is a chip that is designed mainly for a reader role, but it can also support some modes commonly found in smartphones. As the only chip in this comparison, it expressly supports EMV [40]. At least at the lower protocol layers, EMV seems to be merely another rehash of ISO 14443 Type A and B.

All things considered, this is still a very limited chip, it seems to be unknown in the developer community, and the lack of availability of the “B” version may be an issue.

7.4 NXP PN512

The PN512⁷⁶ looks somewhat promising. It supports ISO 14443 and NFC IP-1 in both initiator and target roles, fairly detailed documentation is available, and interfacing should be reasonably simple (for raw modes, using a microcontroller synchronized to the carrier frequency).

Drawbacks of this chip include the apparent lack of community interest, the lack of support for ISO 15693, and also the limitation to lower bit rates.

7.5 NXP PN532

The PN532⁷⁷ enjoys great popularity in the DIY hardware scene.

A driver for the PN533, which should be identical except for the interface, is included in the mainline Linux kernel (`drivers/nfc/pn533.c`).

Documentation may be a problem, though. At least the publicly available documentation is insufficient for considering this chip. It also seems to share the low-level protocol support weaknesses of the PN512.

7.6 NXP PN544

The PN544 is very popular in the industry and can be found in many smartphones. Low-level protocol support is quite comprehensive and among the chips we considered, this is the only one with a built-in SWP interface.

⁷⁵ <https://www.ams.com/eng/Products/NFC-HF-RFID/NFC-HF-RFID-Reader-ICs/AS3911B>

⁷⁶ http://www.nxp.com/products/identification_and_security/nfc_and_reader_ics/nfc_contactless_reader_ics/PN512AA0HN1.html

⁷⁷ http://www.nxp.com/products/identification_and_security/nfc_and_reader_ics/nfc_contactless_reader_ics/PN5321A3HN.html

Unfortunately, almost no public documentation is available for the chip. There are a “3rd generation” successor chip,⁷⁸ the PN547, announced in 2012, and the “4th generation” PN548 that are even more elusive.

A driver for the PN544 is included in the mainline Linux kernel (`drivers/nfc/pn544/`).

Given the extremely poor documentation situation, we should not consider this chip suitable for use in the Neo900 project.

7.7 TI TRF7970A

The TRF7970A⁷⁹ is readily available, comes with comprehensive documentation, and considerable design experience exists in the community.

It is much simpler than the NXP PN544, covering only the lower layers of the NFC/RFID protocol stack. This puts a larger burden on the host but also ensures a maximum of flexibility and allows to omit functionality that would create “intellectual property” liabilities.

Possible issues include that the chip has a comparably complex host interface that is based on SPI, not I²C, and that it does not include SWP support.

A vendor-supported driver for the TRF7970A is included in the mainline Linux kernel (`drivers/nfc/trf7970a.c`).

7.7.1 Non-standard protocols

For transceiver configuration and when using protocols whose framing complies with the ISO 14443 standard,⁸⁰ communication with the host CPU uses an SPI interface plus one signal each for enabling the chip and for signaling interrupts to the host.

If using protocols that do not comply with ISO 14443-3 framing but use a similar structure,⁸¹ a so-called “Special Direct Mode” (SDM, sometimes also called DM2) has to be used. For receiving, this mode uses the same interface as for standard-compliant communication. When sending, the CPU enables the transmitter with the special enable signal (TX_EN), the transceiver provides the bit clock, and the host sends the bit stream to transmit.

Last but not least, if the protocol diverges even further from the standard, one of two additional “Direct Modes” (DM) have to be used. For transmission, the host provides the modulation signal (i.e., below the bit level).

Both direct modes differ for reception: DM1 performs demodulation and decoding of received bits, and provides bit clock and bitstream to the host. In DM0, the transceiver outputs the digitized envelope signal.

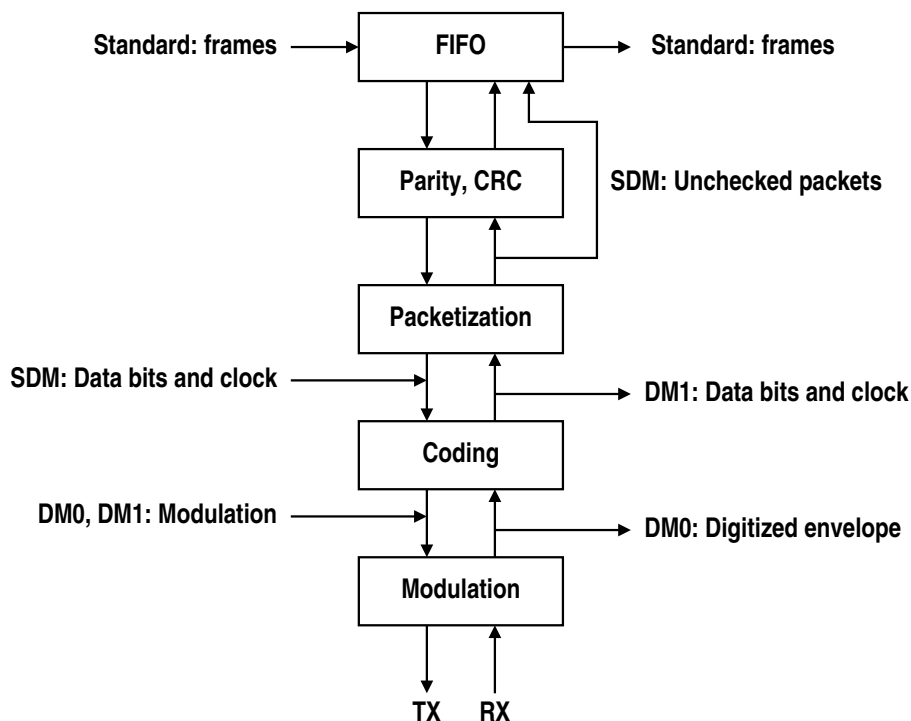
⁷⁸ http://www.nxp.com/products/identification_and_security/nfc_and_reader_ics/nfc_contactless_reader_ics/series/PN547.html

⁷⁹ <http://www.ti.com/product/trf7970a>

⁸⁰ Section 6.2.3 of [7]

⁸¹ According to [41], MIFARE™ Classic complies with ISO14443-1 through ISO14443-3 but violates ISO14443-4, while [35] suggests that already the framing does not correspond to ISO14443-3.

The following diagram illustrates where the various direct modes tap into the data flow between the protocol processing layers:



Further details on DM0 and DM1 can be found in section 6.10.6 of [34]. SDM is discussed in section 8 of [35].

7.7.2 Modulation clock

In DM0 and DM1, the host has to provide modulation input that is synchronized precisely with the carrier frequency.

The carrier frequency of ISO 14443-2 is $13.56 \text{ MHz} \pm 7 \text{ kHz}$ and all other timings are derived from this frequency.⁸² At the lowest specified nominal rate of 106 kHz ($f_C/128$), the bit clock would therefore be between 105.88 kHz and 105.99 kHz. Modulation inside bits uses a multiple of the bit rate but also allows for considerably larger tolerances.

To help the host to meet clocking requirements of the RF side, the transceiver can output a clock directly derived from the carrier clock. The SPI modules in the DM3730 operate at an integer fraction of a 48 MHz clock and cannot be clocked from any other source in master mode.

Type A modulation at $f_C/128$ requires a pulse t_1 of $28/f_C$ to $40.5/f_C$ that starts with an exact delay of zero or half the bit period ($t_x = 64/f_C$) after the nominal beginning of a bit.⁸³ Taking into account carrier frequency tolerances, we therefore obtain the following timings for the beginning of the t_1 pulse:

⁸² Section 6.1 of [6].

⁸³ Table 3 in section 8.1.2.1 and table 7 in section 8.1.3 of [6].

Time (μs)	48 MHz cycles
4.7173–4.7222	226.43–226.67

It is therefore not possible to provide accurate t_x timing with the SPI subsystem of the DM3730 operating as master.

If used as slave, the DM3730's SPI interfaces can operate at 12 MHz in OPP50 and at 24 MHz in OPP100. The – possibly divided – RF clock could therefore be used as SPI bus clock for transmission in DM0 and DM1, and also for reception in DM0.

Unfortunately, the DM3730 has the unusual requirement that the SPI select signal has to raise at the end of each word, and is therefore not suitable for receiving a continuous bit stream.⁸⁴

This issue can be resolved in the following manners:

- Support only standard-compliant protocols, without any of the direct modes,
- implement non-standard protocols with DM0 (DM1 reception and SDM are unavailable due to the requirement to de-select the DM3730 SPI slave between bytes), using an SPI master with an out-of-specification data clock derived from the 48 MHz source,
- try to generate the bit stream entirely under software control,⁸⁵
- use a different transceiver, or
- add a microcontroller capable of relaying data between DM3730 and TRF7970A. An example for this approach is shown in section 8.

Support of type B modulation and the optional⁸⁶ bit rates above 106 kHz was not studied for this document.

7.7.3 Host interface

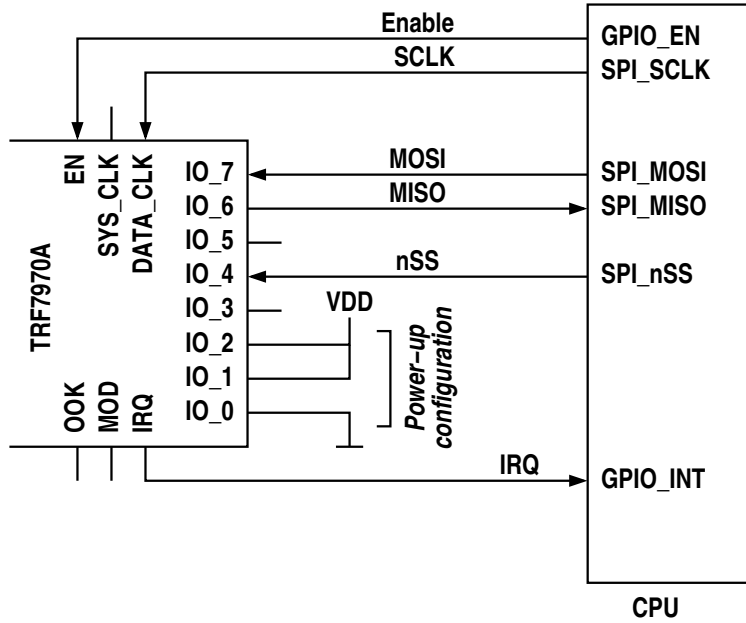
In this section we describe connections between the transceiver and the host CPU for the various transmission modes.

The simplest case is standard-compliant operation using framing and FIFO:

⁸⁴ Section 20.5.3 in [42].

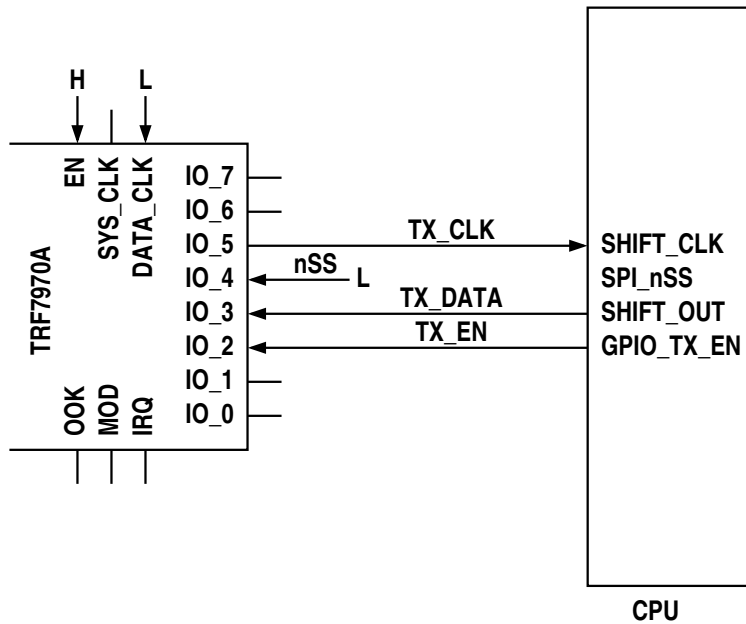
⁸⁵ Since very narrow timing is required, such a program would have to disable interrupts, suppress or compensate for conflicting bus activity (e.g., DMA transfers), ensure a known and stable cache state, and may have to take additional precautions to keep jitter to a minimum. In practice, the CPU would be dedicated to executing only the code in question during communication preparation and the actual communication. This is likely to result in user-visible effects and the impact large interrupt handling delays have on drivers would have to be analyzed.

⁸⁶ Table 1 in section 6.1 of [7]



The transceiver operates as a SPI slave and the SPI bus can be shared with other devices.

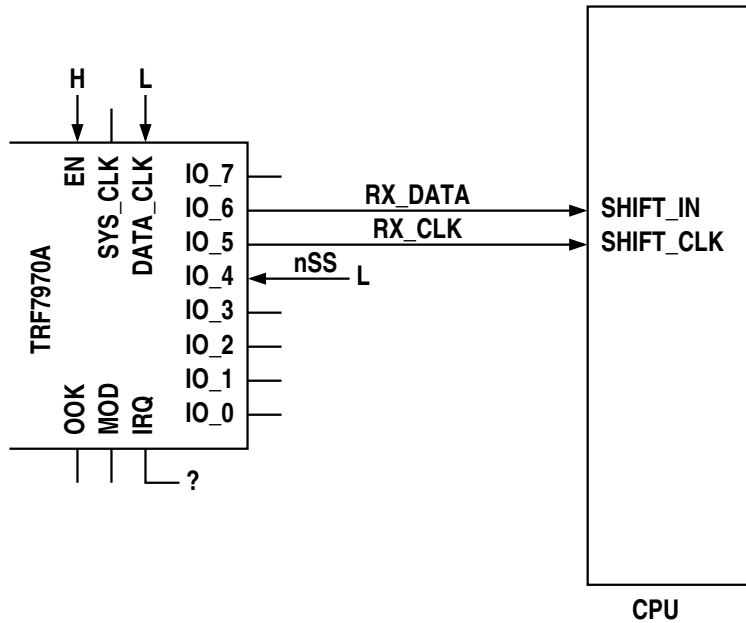
For “Special Direct Mode”, reception still uses the transceiver’s internal FIFO and SPI, but transmit enable, data, and clock use dedicated signals:



Furthermore, the interface must remain selected. If the SPI bus is shared with other devices, it must therefore be held until the send or receive operation – or sequence of operations – is complete.

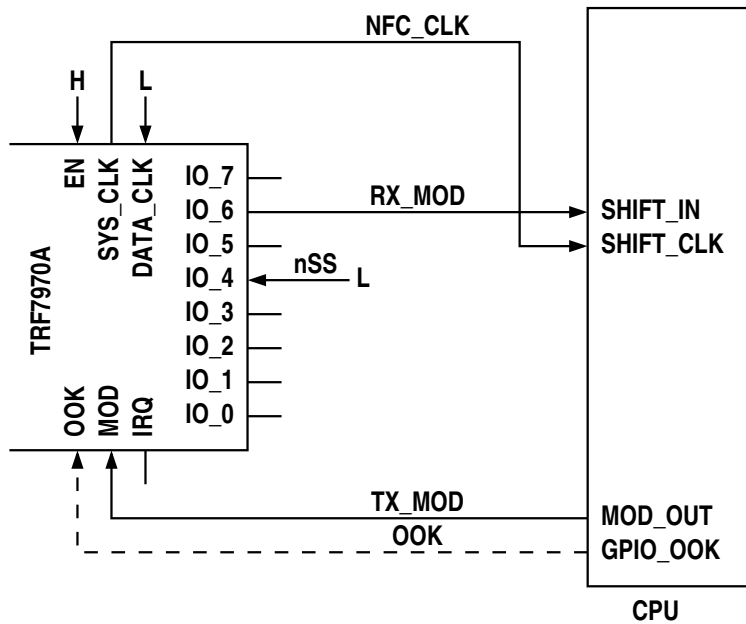
It is not clear whether the SPI interface can be used during SDM transmission or whether DATA_CLK has to remain idle.

In DM1, the receiver uses the signals of the SPI interface for demodulated bits and the bit clock:



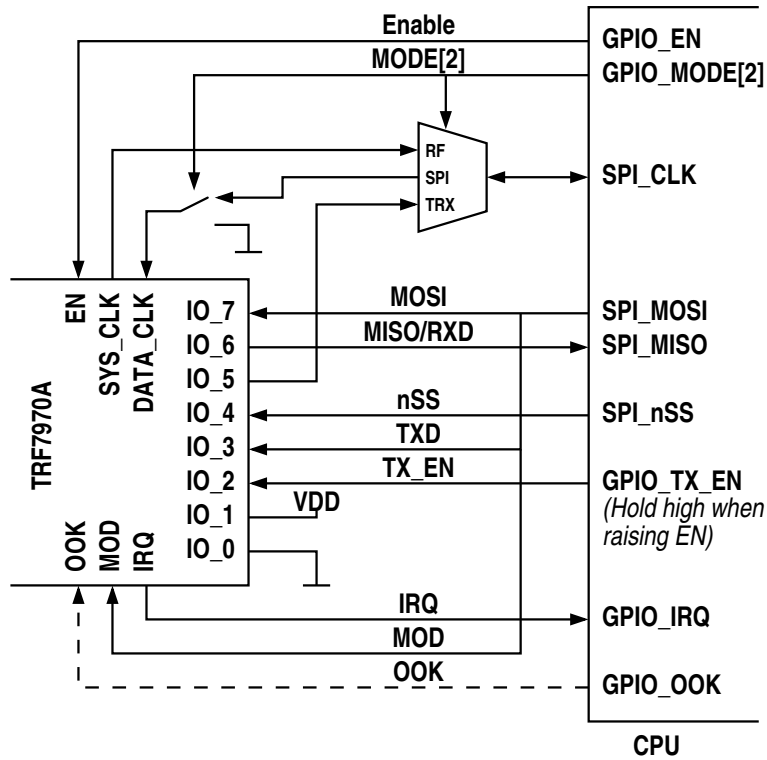
As in SDM, nSS has to be held low while using either DM0 or DM1. The documentation is inconsistent as to whether interrupts may be generated in DM1.

In DM0, the receiver delivers only the “raw” envelope without clock (DM0). Transmission in DM0 and DM1 uses yet another data path for the modulation signal. Since DM0 reception and DM0/DM1 transmission need to be tightly synchronized with the RF carrier frequency, we use the transceiver’s clock output to clock the SPI bus, as discussed in section 7.7.2:



The OOK control signal allows the host to change “on the fly” between OOK or Amplitude-Shift Keying (ASK). It is presently not clear under what circumstances such functionality would be required.

Since it appears that at most one data stream (i.e., SPI, TX/RX bits, or modulation/envelope information) is active at any given time, it should be possible to operate the transceiver with a single SPI interface from the host. The circuit for this may look as follows:



Note that IO.5 is an active if useless output also in SPI mode and therefore must be separated from SPI clock generated by the host. The following table shows the different clock configurations:

Protocol mode	SPI mode	Clock mode	
		Clock selection	DATA_CLK
Standard, SDM RX	Master	SPI	SCLK
SDM TX, DM1 RX	Slave	TRX	L
DM0, DM1 TX	Slave	RF	L

Note that IO.2 must be held high on “power-up” (which seems to include EN transitioning from low to high) to select the four-wire SPI interface configuration.

7.7.4 Activity states

The TRF7970A has two enable lines that allow the selection of up to three different activity states:⁸⁷

⁸⁷ Table 6-3 in section 6.3.2 of [34].

State	EN	EN2	SYS_CLK	V _{DD_X}
Power down	0	0	off	off
Sleep	0	1	off	on
All others	1	X	on	on

SYS_CLK is the clock output and V_{DD_X} is a regulated voltage derived from the 3.3 V input supply. Since we need neither when in a standby state, it is not necessary to use EN2 and we can connect it permanently to ground.

According to sections 6.1.3 and 6.12.1 of [34], field detection is supplied by “VEXT” and is therefore possible also “during complete power down.” Unfortunately, there is no power supply with this name.

The description of table 6-15 in section 6.12.1 suggests that the mysterious “VEXT” may be identical to “V_{IN}”.

8 Auxiliary microcontroller

In this section we describe a possible scenario where an auxiliary microcontroller is used to implement SWP, and to overcome the incompatibility between the capabilities the TRF7970A requires from a host CPU in order to support non-standard protocols and what the DM3730 provides. In this example, we use the Freescale Kinetis L series KL26 in a 32-QFN package.

The KL26 was chosen in part because of the author’s familiarity with this chip. The Kinetis L series contains many other chips with similar characteristics that – pending further evaluation – could be used in its stead. For example, if we have no use for the USB functionality, the otherwise similar KL16 would have more available IO pins and a slightly lower unit cost.

8.1 Host interface

This section describes the signals between the MCU (KL26) and the host CPU (DM3730).

The KL26 contains two I²C modules which are both capable of operating at 1.8 V and at 400 kbps, provided that the I²C bus is connected to one of the chip’s high-drive pads.⁸⁸

An I²C address match can wake the chip from various low-power modes.⁸⁹ Of these modes, VLPS is the one with the lowest power consumption, with a typical 2.69 μ A at 25°.⁹⁰

Additional signals to the host are a reset signal to unconditionally reset the MCU, and an interrupt signal to alert the host to NFC activity.

Furthermore, the SWD signal used for in-circuit programming may or may not be routed to the host CPU. See section 8.7 for details.

8.2 Clock configuration

In order to perform all the clock selection inside the chip, without requiring any external components, we clock the KL26 from the transceiver.⁹¹ In this scenario, the following clock configuration could be used:⁹²

⁸⁸ Footnote 1 below table 35 in section 3.8.4 of [43]. See also table 7 in section 2.2.3 for high-drive pads, and section 5.1 for pin assignment. Note that only I2C0 is actually routed to high-drive pads.

⁸⁹ Table 7-2 in section 7.5 of [44].

⁹⁰ Table 9 in section 2.2.5 of [43].

⁹¹ The KL26 contains an USB OTG interface that requires a 48 MHz clock that could not be derived with sufficient accuracy from the NFC clock. However, if use of USB is required while processing non-standard NFC protocols, it may still be possible to use the internal FLL clock for this purpose. Given the complexity of the MCU’s clocking system, the viability of this configuration should not be taken for granted without verification by experiment.

⁹² In this example, we assume that the transceiver outputs f_C . The TRF7970A could also output a fractional clock or, if using a 27.12 MHz crystal (which may be more easily available than 13.56 MHz), it could output twice f_C . The input divider of the PLL can be adjusted to any of these frequencies.

Clock		Input clock	Divider		Frequency (MHz)
EXTAL0	=	13.56 MHz	÷ 4	=	3.39
PLL input	=	EXTAL0	÷ 1	=	3.39
PLL output	=	PLL input	× 24	=	81.36
System	=	PLL output	÷ 2	=	40.68
Bus	=	System	÷ 2	=	20.34

The PLL output is limited to 100 MHz, the system clock to 48 MHz, and the bus clock to 24 MHz. The above settings therefore run the KL26 at 84.75% of its maximum speed.

8.3 SPI configuration

The KL26 has two SPI interfaces that are both capable of operating in master and slave mode. The maximum speed of each SPI interface depends on the (hard-wired) clock source and in which mode it operates. With the above clock configuration, we would obtain the following maximum bit rates:

SPI device	Mode	Highest rate	MHz
SPI0	Master	$f_{\text{BUS}}/2$	10.17
	Slave	$f_{\text{BUS}}/4$	5.08
SPI1	Master	$f_{\text{SYS}}/2$	20.34
	Slave	$f_{\text{SYS}}/4$	10.17

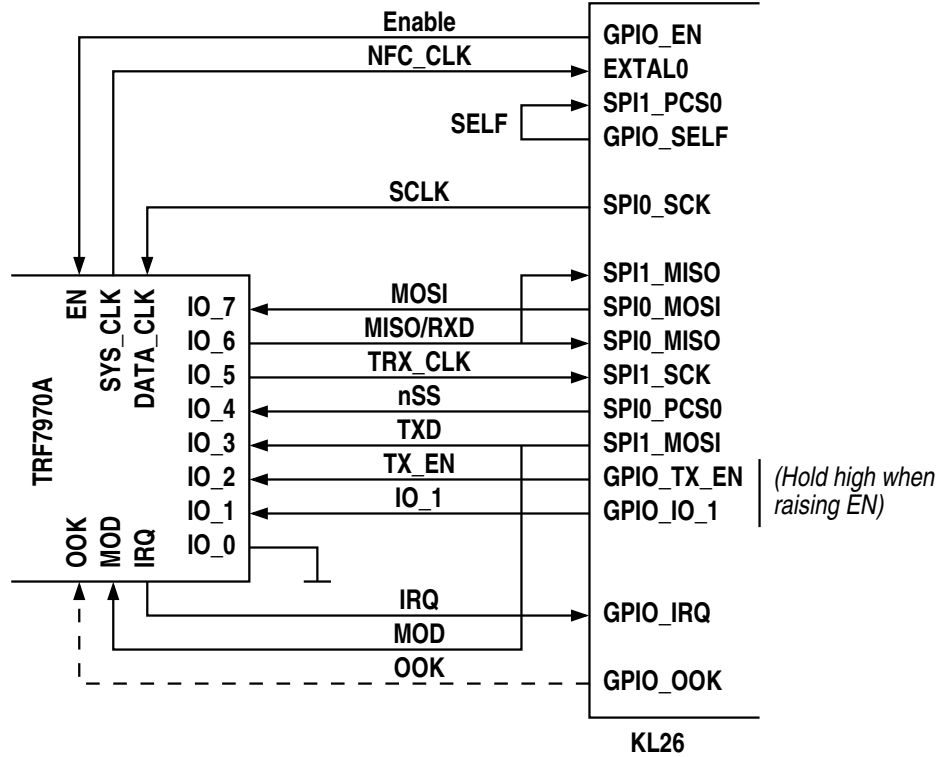
We should therefore use SPI0 as SPI master for transceiver configuration and the transfer of standard-compliant frames, and SPI1 for all the direct modes, either as master with a maximum SPI bit clock of $20.34 \text{ MHz}/3 = 6.78 \text{ MHz} = f_c/2$, or as slave.

Note that there is probably a complication in the form of a delay of half a bit time between bytes when operating as SPI master.⁹³ Possible alternatives to SPI would include I²S, which has a continuous clock, is also available in the chips discussed here, and should be able to operate at data rates up to 12.5 MHz, or a fairly generic serial protocol engine called FlexIO [45] that has recently been introduced in some Freescale microcontrollers.

8.4 Connection example

The following drawing shows a possible wiring of the interface between TRF7970A and KL26:

⁹³ “KL15 - DMA with SPI - Interbyte delay”
<https://community.freescale.com/thread/308798>



Note that no external switches or multiplexers are required. As explained in section 3.1 of [46], inputs that are driven high while the chip is not enabled draw a significant idle current. To avoid this, IO_1 should be connected to a GPIO and only be driven high when needed for interface selection, and the SPI bus should not be shared with other devices.

The following table shows which of the connections would be used to carry data and clock in each transceiver mode, and which signal activates the SPI slave:

Mode	Direction	Data	Clock	Select
		NFC ↔ MCU	NFC ↔ MCU	NFC ↔ MCU
Standard	TX	←MOSI	←SCLK	←nSS
	RX	MISO→	←SCLK	←nSS
SDM	TX	←TXD	TRX_CLK→	—
	RX	MISO→	←SCLK	←nSS
DM1	RX	RXD→	TRX_CLK→	SELF→
DM0, DM1	TX	←MOD	NFC_CLK→	—
DM0	RX	RXD→	NFC_CLK→	SELF→

8.5 SWP interface example

The Kinetis KL26 family includes a fast analog comparator with built-in programmable voltage references. This can be used to implement an SWP interface as described in section 6.4.

Since we need to be able to sample the S2 state within an interval of $1.25 \mu\text{s}$ or shorter, we assume that the comparator is operated in high-speed mode with a maximum propagation delay of 200 ns .⁹⁴

We can obtain the following parameters from the data sheet [43]:

Parameter	Reference, section	Value	Unit	Comment
V_H	Figure 10, 3.6.2	5–160	mV	Typical, configurable, near rail
V_{OFF}	Table 27, 3.6.2	20	mV	Maximum
I_{CMP}	Table 7, 2.2.3	1	μA	Maximum
R_{ON}	Table 7, 2.2.3	200	Ω	Normal drive pad, maximum
		50	Ω	High drive pad, maximum

Assuming the use of a high-drive pad to minimize the effect of R_{ON} variations, the minimum hysteresis of $V_H = 5 \text{ mV}$, and $V_{IO} = 1.8 \text{ V}$, we obtain the following constraints for R_{SHUNT} using the formulas from section 6.4:

$$36.2 \Omega \leq R_{SHUNT} \leq 180 \Omega$$

If we choose $R_{SHUNT} = 150 \Omega$, V_{CARD} and V_{TH} for the S2 states then are:

S2	I_{CARD}	V_{CARD}	V_{TH}
1	$600 \mu\text{A}$ (min)	1.680 V	1.705 V (min)
0	$20 \mu\text{A}$ (max)	1.796 V	1.771 V (max)

The analog comparator in KL26 has a 6-bit DAC that can be used as power-efficient voltage reference. Considering DAC non-linearity,⁹⁵ the following DAC setting⁹⁶ would produce a voltage in the above range:

$\times V_{IO}$	Voltage (V)		
	Min.	Nom.	Max.
$62/64$	1.721	1.744	1.766

Please note that we may choose less rigid requirements for V_{CARD} , as discussed in section 6.4. This would allow the use of a larger shunt resistance, allowing for a larger hysteresis and/or a wider threshold voltage range.

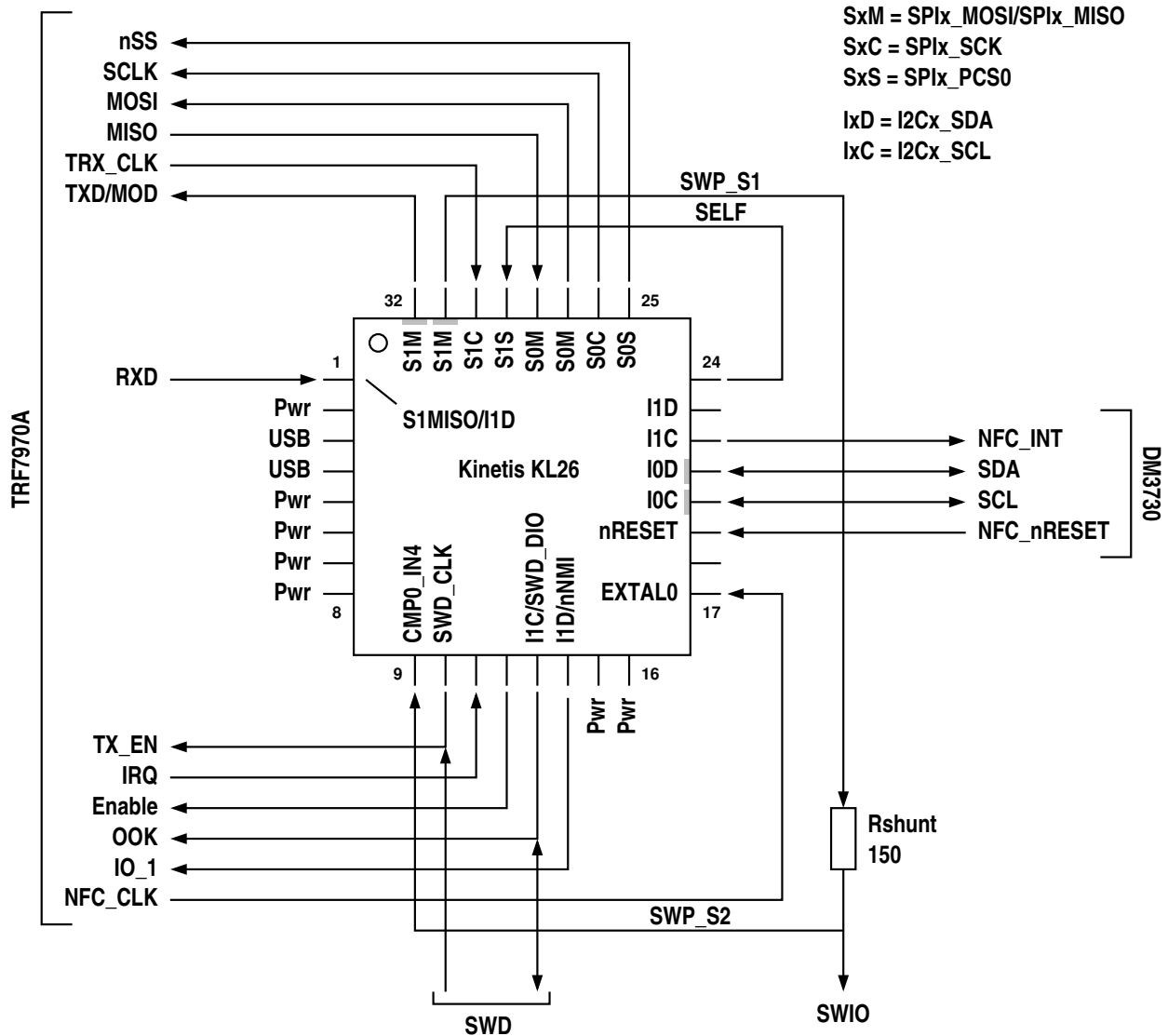
⁹⁴ Table 27 in section 3.6.2 of [43].

⁹⁵ $\pm 0.8 \text{ LSB}$ with $1 \text{ LSB} = 1/64 V_{IO}$, table 27 in section 3.6.2 of [43].

⁹⁶ Section 29.2.5 of [44]. Note that the divider is indeed 64 (and not 63) because the DAC range is from $1/64 \cdot V_{IO}$ to $64/64 \cdot V_{IO}$ and thus does not include GND.

8.6 Pin assignment

The following drawing shows a possible pin assignment for the KL26 operating at 1.8 V, with a simple I²C-based interface to the main CPU:



The pin descriptions only list functions pertinent to a possible use in Neo900. The KL26 makes extensive use of multiplexing and most pins have between four and six different functions.

SWP_S1 connects to pin 31 (PTD6), which is a high-drive pad, as suggested in section 8.5. High-drive pads are marked with a grey bar.

8.7 In-circuit programming

The KL26’s internal Flash memory can be in-circuit programmed through the SWD interface. To avoid conflicts with other parts of the system, the SWD signals should either be used exclusively for SWD, or – if sharing is desired – should connect to high-impedance inputs that do not normally trigger major transitions in system state.

Like apparently all microcontrollers of this category, the KL26 can be programmed to disallow any direct outside access to its Flash content. Firmware present in Flash may allow indirect read or write access to the Flash. This effectively means that the chip can be irreversibly “bricked” by flashing an incorrect firmware image. In the context of Neo900, this ability would be highly undesirable.

The SWD programming software could be equipped with safeguards that prevent the flashing of content that would lead to such bricking. However, a bug, a communication error, or also malicious software could still defeat such a mechanism.

A safe choice would be to program an I²C-based boot loader into the MCU. This boot loader would run after reset, accept possible changes to the rest of the firmware, and only proceed to normal operation when requested by the main CPU. That boot loader itself would be protected against alteration.

Modification of the boot loader in the field could be permitted either through the boot loader’s I²C protocol, via SWD, or both. In either case, a suitable safeguard against unintended programming should be provided, e.g., by requiring the placement of a jumper.

9 Acronyms and abbreviations

AM	Amplitude Modulation
ASK	Amplitude-Shift Keying
BPSK	Binary PSK
CLF	ContactLess Frontend
FSK	Frequency-Shift Keying
MFM	Modified Frequency Modulation
NFC	Near Field Communication
NRZ	Non-Return-to-Zero
OOK	On-Off Keying
PCD	Proximity Coupling Device
PICC	Proximity Integrated Circuit Card
PJM	Phase Jitter Modulation
PPM	Pulse-Position Modulation
PSK	Phase-Shift Keying
RFID	Radio-Frequency IDentification
SE	Secure Element
SIM	Subscriber Identity Module
SWP	Single Wire Protocol
UICC	Universal Integrated Circuit Card
VCD	Vicinity Coupling Device
VICC	Vicinity Integrated Circuit Card

10 References

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